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#9 *Jle* 4/4/02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of:) Art Unit: 2874
Benson Chan et al.) Examiner: Juliana K. Kang
Serial No.: 09/481,903) Date: April 2, 2002
Filed: January 12, 2000) Atty. Docket No.: EN999025
For: FIBER OPTIC CONNECTION AND METHOD USING THE SAME)

VERIFIED STATEMENT AND AFFIDAVIT UNDER 37 C.F.R. \$1.131

Honorable Commissioner of Patents and Trademarks Washington, DC 20231

SIR:

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APR 4 2002

TECHNOLOGY CENTER 2800

County of Broome)

State of New York)

- I, Benson Chan, being duly sworn, depose and state:
- 1. I am an applicant of the above-identified patent application and co-inventor of the subject matter described and claimed therein.

Docket No. EN999025

- 2. In early 1998, we were assigned the particular problem of designing a structure to couple a 12 channel wide fiber optic cable to a 12 channel VCSEL (laser) transmitter and a 12 channel PAID receiver die. The structure or package was intended to attach (solder) directly to an end user card and have the cables plugged directly through the tail stock of the card.
- In an Office Action dated August 6, 2001, Examiner Juliana Kang cited a reference against the instant application: United States Patent No. 6,071,016 for LIGHT RECEIVING MODULE FOR OPTICAL COMMUNICATION AND LIGHT RECEIVING UNIT THEREOF, issued June 6, 2000 to Ichino et al. and filed on March 4, 1998.
- 4. Be it known that I conceived and helped reduce to practice our instant invention prior to the issuance or filing dates of Ichino et al.
- I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false

Docket No. EN999025

statements may jeopardize the validity of the application or any patent issued thereon.

AFFIANT FURTHER SAYETH NOT:

Benson Chan

Before me this <u>And</u> day of April, 2002, appeared the affiant, Benson Chan.

Sworn to and subscribed before me on this 20d day of April,

Notary Public

CHRISTINE LANG
Notary Public, State of New York
No. 4927550
Residing in Broome County

Residing in Broome County
My commission expires 8/5/07

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